1	ROB BONTA		
2	Attorney General of California JUDITH T. ALVARADO Supervising Density Attorney Consul		
3	Supervising Deputy Attorney General LATRICE R. HEMPHILL Deputy Attorney General State Bar No. 285973		
4			
5	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 269-6198		
6	Facsimile: (213) 209-0198 Facsimile: (916) 731-2117 Attorneys for Complainant		
7	Attorneys for Complainani		
8	BEFORE THE		
9	BOARD OF PSYCHOLOGY DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF CALIFORNIA		
11			
12	In the Matter of the First Amended Accusation Against:	Case No. 600-2019-000941	
13	VINCENT SHANKS, PHD.	TYPOT ANGLED A GOVE ATVON	
14	32605 Temecula Pkwy, Ste 219 Temecula, CA 92592-6840	FIRST AMENDED ACCUSATION	
15	Registered Psychological Associate		
16	No. 94020884,		
17	Respondent.		
18			
19	D.A.D.	PHEC	
20	PARTIES		
21	1. Antonette Sorrick (Complainant) brings this First Amended Accusation solely in her		
22	official capacity as the Executive Officer of the Board of Psychology, Department of Consumer		
23	Affairs.		
24	2. On or about August 26, 2014, the Board of Psychology issued Registered		
25	Psychological Associate Number 94020884 to VINCENT SHANKS, PhD. (Respondent). The		
26	Psychological Associate registration expired on August 19, 2020, and has not been renewed.		
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JURISDICTION

3. This First Amended Accusation is brought before the Board of Psychology (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.

STATUTORY PROVISIONS

4. Section 118 of the Code states:

- (a) The withdrawal of an application for a license after it has been filed with a board in the department shall not, unless the board has consented in writing to such withdrawal, deprive the board of its authority to institute or continue a proceeding against the applicant for the denial of the license upon any ground provided by law or to enter an order denying the license upon any such ground.
- (b) The suspension, expiration, or forfeiture by operation of law of a license issued by a board in the department, or its suspension, forfeiture, or cancellation by order of the board or by order of a court of law, or its surrender without the written consent of the board, shall not, during any period in which it may be renewed, restored, reissued, or reinstated, deprive the board of its authority to institute or continue a disciplinary proceeding against the licensee upon any ground provided by law or to enter an order suspending or revoking the license or otherwise taking disciplinary action against the licensee on any such ground.
- (c) As used in this section, "board" includes an individual who is authorized by any provision of this code to issue, suspend, or revoke a license, and "license" includes "certificate," "registration," and "permit."

5. Section 2913 of the Code states:

A person other than a licensed psychologist may perform psychological functions in preparation for licensure as a psychologist only if all of the following conditions are met:

- (a) The person shall register himself or herself with the board as a "psychological assistant." This registration shall be renewed annually in accordance with regulations adopted by the board.
- (b) The person (1) has completed a master's degree in psychology or education with the field of specialization in psychology or counseling psychology, or (2) has been admitted to candidacy for a doctoral degree in psychology or education with the field of specialization in psychology or counseling psychology, after having satisfactorily completed three or more years of postgraduate education in psychology and having passed preliminary doctoral examinations, or (3) has completed a doctoral degree that qualifies for licensure under Section 2914.
- (c)(1) The psychological assistant is at all times under the immediate supervision, as defined in regulations adopted by the board, of a licensed psychologist, or a licensed physician and surgeon who is certified in psychiatry by the American Board of Psychiatry and Neurology or the American College of Osteopathic Board of Neurology and Psychiatry, who shall be responsible for

7. Section 2960.1 of the Code states:

Notwithstanding Section 2960, any proposed decision or decision issued under this chapter in accordance with the procedures set forth in Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code, that contains any finding of fact that the licensee or registrant engaged in any act of sexual contact, as defined in Section 728, when that act is with a patient, or with a former patient within two years following termination of therapy, shall contain an order of revocation. The revocation shall not be stayed by the administrative law judge.

8. Section 2963 of the Code states:

A plea or verdict of guilty or a conviction following a plea of nolo contendere made to a charge which is substantially related to the qualifications, functions and duties of a psychologist or psychological assistant is deemed to be a conviction within the meaning of this article. The board may order the license suspended or revoked, or may decline to issue a license when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under Section 1203.4 of the Penal Code allowing the person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, information or indictment.

9. Section 2964.3 of the Code states:

Any person required to register as a sex offender pursuant to Section 290 of the Penal Code, is not eligible for licensure or registration by the board.

10. Section 490 of the Code provides, in pertinent part, that a board may suspend or revoke a license on the ground that the licensee has been convicted of a crime substantially related to the qualifications, functions, or duties of the business or profession for which the license was issued.

11. Section 726 of the Code states:

- (a) The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this or under any initiative act referred to in this division.
- (b) This section shall not apply to consensual sexual contact between a licensee and his or her spouse or person in an equivalent domestic relationship when that licensee provides medical treatment, to his or her spouse or person in an equivalent domestic relationship.

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12. Section 729 of the Code states:

- (a) Any physician and surgeon, psychotherapist, alcohol and drug abuse counselor or any person holding himself or herself out to be a physician and surgeon, psychotherapist, or alcohol and drug abuse counselor, who engages in an act of sexual intercourse, sodomy, oral copulation, or sexual contact with a patient or client, or with a former patient or client when the relationship was terminated primarily for the purpose of engaging in those acts, unless the physician and surgeon, psychotherapist, or alcohol and drug abuse counselor has referred the patient or client to an independent and objective physician and surgeon, psychotherapist, or alcohol and drug abuse counselor recommended by a third-party physician and surgeon, psychotherapist, or alcohol and drug abuse counselor for treatment, is guilty of sexual exploitation by a physician and surgeon, psychotherapist, or alcohol and drug abuse counselor.
- (b) Sexual exploitation by a physician and surgeon, psychotherapist, or alcohol and drug abuse counselor is a public offense:
- (1) An act in violation of subdivision (a) shall be punishable by imprisonment in a county jail for a period of not more than six months, or a fine not exceeding one thousand dollars (\$1,000), or by both that imprisonment and fine.
- (2) Multiple acts in violation of subdivision (a) with a single victim, when the offender has no prior conviction for sexual exploitation, shall be punishable by imprisonment in a county jail for a period of not more than six months, or a fine not exceeding one thousand dollars (\$1,000), or by both that imprisonment and fine.
- (3) An act or acts in violation of subdivision (a) with two or more victims shall be punishable by imprisonment pursuant to subdivision (h) of Section 1170 of the Penal Code for a period of 16 months, two years, or three years, and a fine not exceeding ten thousand dollars (\$10,000); or the act or acts shall be punishable by imprisonment in a county jail for a period of not more than one year, or a fine not exceeding one thousand dollars (\$1,000), or by both that imprisonment and fine.
- (4) Two or more acts in violation of subdivision (a) with a single victim, when the offender has at least one prior conviction for sexual exploitation, shall be punishable by imprisonment pursuant to subdivision (h) of Section 1170 of the Penal Code for a period of 16 months, two years, or three years, and a fine not exceeding ten thousand dollars (\$10,000); or the act or acts shall be punishable by imprisonment in a county jail for a period of not more than one year, or a fine not exceeding one thousand dollars (\$1,000), or by both that imprisonment and fine.
- (5) An act or acts in violation of subdivision (a) with two or more victims, and the offender has at least one prior conviction for sexual exploitation, shall be punishable by imprisonment pursuant to subdivision (h) of Section 1170 of the Penal Code for a period of 16 months, two years, or three years, and a fine not exceeding ten thousand dollars (\$10,000).

For purposes of subdivision (a), in no instance shall consent of the patient or client be a defense. However, physicians and surgeons shall not be guilty of sexual exploitation for touching any intimate part of a patient or client unless the touching is outside the scope of medical examination and treatment, or the touching is done for sexual gratification.

(c) For purposes of this section:

- (b) In the case of a disciplined licensee that is a corporation or a partnership, the order may be made against the licensed corporate entity or licensed partnership.
- (c) A certified copy of the actual costs, or a good faith estimate of costs where actual costs are not available, signed by the entity bringing the proceeding or its designated representative shall be prima facie evidence of reasonable costs of investigation and prosecution of the case. The costs shall include the amount of investigative and enforcement costs up to the date of the hearing, including, but not limited to, charges imposed by the Attorney General.
- (d) The administrative law judge shall make a proposed finding of the amount of reasonable costs of investigation and prosecution of the case when requested pursuant to subdivision (a). The finding of the administrative law judge with regard to costs shall not be reviewable by the board to increase the cost award. The board may reduce or eliminate the cost award, or remand to the administrative law judge if the proposed decision fails to make a finding on costs requested pursuant to subdivision (a).
- (e) If an order for recovery of costs is made and timely payment is not made as directed in the board's decision, the board may enforce the order for repayment in any appropriate court. This right of enforcement shall be in addition to any other rights the board may have as to any licensee to pay costs.
- (f) In any action for recovery of costs, proof of the board's decision shall be conclusive proof of the validity of the order of payment and the terms for payment.
- (g)(1) Except as provided in paragraph (2), the board shall not renew or reinstate the license of any licensee who has failed to pay all of the costs ordered under this section.
- (2) Notwithstanding paragraph (1), the board may, in its discretion, conditionally renew or reinstate for a maximum of one year the license of any licensee who demonstrates financial hardship and who enters into a formal agreement with the board to reimburse the board within that one-year period for the unpaid costs.
- (h) All costs recovered under this section shall be considered a reimbursement for costs incurred and shall be deposited in the fund of the board recovering the costs to be available upon appropriation by the Legislature.
- (i) Nothing in this section shall preclude a board from including the recovery of the costs of investigation and enforcement of a case in any stipulated settlement.
- (j) This section does not apply to any board if a specific statutory provision in that board's licensing act provides for recovery of costs in an administrative disciplinary proceeding.
- (k) Notwithstanding the provisions of this section, the Medical Board of California shall not request nor obtain from a physician and surgeon, investigation and prosecution costs for a disciplinary proceeding against the licensee. The board shall ensure that this subdivision is revenue neutral with regard to it and that any loss of revenue or increase in costs resulting from this subdivision is offset by an increase in the amount of the initial license fee and the biennial renewal fee, as provided in subdivision (e) of Section 2435.

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FACTUAL ALLEGATIONS

Client A

- 15. As a psychological associate, Respondent provided therapeutic services to Client A¹ from June 2017 until January 2018. Client A presented to Respondent after her mother passed away from breast cancer, invoking fears of her own demise. Client A wanted to address her anxiety, panic attacks, and depression stemming from this fear of breast cancer.
- 16. During her first session with Respondent, Client A explained that she was molested as a child and feared that she would die like her mother. Respondent indicated that he too had been sexually abused, and he could prevent her from getting breast cancer because he was a psychic healer.
- 17. Throughout the course of the therapeutic relationship, Respondent repeatedly stated that he had special abilities using spiritual healing, energy work, and astral projection.² Respondent told Client A that he could make sure she did not need preventative surgery (i.e., a prophylactic double mastectomy) through his energy work.
- 18. In or about July 2017, Respondent performed his first "psychic healing" on Client A's breast. Respondent asked her to remove her bra, and proceeded to put his hands under her shirt and held her breasts. Respondent slowly rotated his hands in a circular motion for about ten minutes.
- 19. Client A presented to Respondent on at least two additional occasions, between July and September 2017, where Respondent again performed "psychic healing" of her breasts.
- 20. On another unknown date, Client A mentioned to Respondent that she and her husband were trying to have children. Respondent claimed that he could help and he put his hand on her bare stomach, claiming that it was a healing technique.
- 21. In or about January 2018, Client A terminated her therapeutic relationship with Respondent because she did not feel right about him touching her. Additionally, Client A heard

¹ Client A is designated as such to address privacy concerns.

² Astral projection is the supposed act of leaving your body while sleeping.

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that Respondent may have had an affair with a former patient and that yet another patient may have filed a complaint against Respondent.

- 22. On or about October 2, 2019, in the case of *The People of the State of California v. Vincent Shanks*, Superior Court of California for the County of Riverside, case number SWF1900632, Respondent was charged with three counts of felony assault and battery, in violation of Penal Code section 243.4, subdivision (c).
- 23. On or about June 20, 2023, Respondent pleaded guilty to one count of felony sexual battery, in violation of Penal Code section 243.4, subdivision (c). The remaining counts were dismissed.
- 24. Respondent was sentenced to a four-year prison term, but the sentence was suspended and Respondent was placed on formal probation for 24 months. Respondent was also ordered to serve 119 days in the Work Release Program and was ordered to enroll in the state certified sexual offender program for 10 years (Penal Code 290-Tier 1). Additionally, Respondent was ordered to pay restitution and waive renewal of his psychological associate registration.

Clients B through F

- 25. Clients B through F³ are a family who first sought services from Respondent's boss, M.A., in or about March 2018. Specifically, the family sought care and treatment for Client F, who was developmentally delayed and had serious psychological, behavioral, and social problems. M.A. referred to the family to Respondent, who was a new associate in his office.
- 26. Respondent first treated Client F on or about March 29, 2018. His sessions with Client F continued through May 22, 2019.
- 27. On an unknown date, Respondent told Clients B through E that they, along with Client F, should start family counseling to work through conflicts, particularly those concerning Client F. The family agreed to enter into a family counseling relationship with Respondent.
- 28. Client E also began individual therapy with Respondent on or about September 5, 2018. Client E ended their patient-therapist relationship on or about February 25, 2019.

³ Clients B through F are designated as such to address privacy concerns.

1	SECOND CAUSE FOR DISCIPLINE	
2	(Conviction of a Crime)	
3	37. Respondent is subject to disciplinary action under Code sections 490, 2960,	
4	subdivision (a), and 2963 in that he was convicted of a crime substantially related to the	
5	qualifications, functions, and duties of a registered psychological associate, as more particularly	
6	alleged in paragraphs 15 through 24, above, which are hereby incorporated by reference as if fu	
7	set forth.	
8	THIRD CAUSE FOR DISCIPLINE	
9	(Registration as a Sexual Offender)	
10	38. Respondent is subject to disciplinary action under Code section 2964.3 in that he is	
11	required to register as a sexual offender for 10 years pursuant to Penal Code section 290, as more	
12	particularly alleged in paragraphs 15 through 24, above, which are hereby incorporated by	
13	reference as if fully set forth herein.	
14	FOURTH CAUSE FOR DISCIPLINE	
15	(Gross and Repeated Negligence)	
16	39. Respondent is subject to disciplinary action under Code section 2960, subdivisions (
17	and (r), in that he was grossly and repeatedly negligent in his treatment of Clients A through F.	
18	The circumstances are as follows:	
19	Client A	
20	40. The allegations of the First Cause for Discipline, in paragraphs 32 through 36, above	
21	are incorporated herein by reference and re-alleged as if fully set forth.	
22	41. Respondent's violation of Ethical Principles of Psychologists and Code of Conduct,	
23	Standard 10.05, by repeatedly touching Client A's breasts constitutes sexual contact and is an	
24	extreme departure from the standard of care.	
25	42. Ethical Principles of Psychologists and Code of Conduct, Standard 3.04 Avoiding	
26	Harm, states:	
27 28	(a) Psychologists take reasonable steps to avoid harming their clients/patients, students, supervisees, research participants, organizational clients, and others with whom they work, and to minimize harm where it is foreseeable and unavoidable.	

- (b) Psychologists do not participate in, facilitate, assist, or otherwise engage in torture, defined as any act by which severe pain or suffering, whether physical or mental, is intentionally inflicted on a person, or in any other cruel, inhuman, or degrading behavior that violates 3.04(a).
- 43. The standard of care requires that no practitioner commit an act that is likely to cause a patient harm. An act does not have to be shown to have caused actual harm to a patient to be considered a violation of the standard of care.
- 44. Respondent knew, or should have known, that a sexualized relationship with Client A would cause her risk of harm, including harm to her self-esteem, trust in herself and others, and even risk of anxiety, confusion, and shame.
- 45. Further, Client A reported a history of sexual abuse to Respondent, and his actions triggered negative feelings regarding her past abuse. As such, his actions caused patient harm and constitutes an extreme departure from the standard of care.
- 46. Ethical Principles of Psychologists and Code of Conduct, Standard 2.04 Bases for Scientific and Professional Judgments, states, "Psychologists' work is based upon established scientific and professional knowledge of the discipline."
- 47. Respondent's practice consisted of "energy work," "spiritual healing," and "astral projection." Respondent also indicated he had "special abilities." None of these methods are accepted methods of psychological treatment for anxiety, depression, or panic attacks.
- 48. Respondent's representation that his unaccepted and unsubstantiated methods of treatment were effective constitutes a fraudulent act and an extreme departure from the standard of care.
- 49. Moreover, treating a patient with unsubstantiated methods of treatment, with knowledge that the treatment has no scientific foundation, and failing to provide Client A with an established effective treatment, are incompetent acts and constitute extreme departures from the standard of care.
- 50. The standard of care requires psychologists and psychological associates to obtain informed consent using language that is reasonably understandable to the client(s). Practitioners must also appropriately document written or oral consent, permission, and assent.

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- 51. Ethical Principles of Psychologists and Code of Conduct, Standard 10.01 Informed Consent to Therapy, states in pertinent part:
 - (b) When obtaining informed consent for treatment for which generally recognized techniques and procedures have not been established, psychologists inform their clients/patients of the developing nature of the treatment, the potential risks involved, alternative treatments that may be available, and the voluntary nature of their participation.
- 52. Respondent failed to have Client A sign an informed consent document for his unsupported treatment. Further, he failed to explain the lack of scientific support for the treatment used, or offer evidence-based alternative treatments. The failure to obtain and document an informed consent, from Client A, is a departure from the standard of care.

Clients B through F

- 53. The first step in a therapeutic relationship is to establish a working diagnosis through an initial evaluation. Respondent failed to conduct an initial evaluation of Client E and Client F, who both saw Respondent for individual therapy. This constitutes a departure from the standard of care.
- 54. Respondent failed to have Clients B through F sign informed consent documents for his family counseling treatment. Additionally, Respondent failed to document any of the family counseling sessions. These failures constitute a departure from the standard of care.

FIFTH CAUSE FOR DISCIPLINE

(Commission of Any Dishonest or Fraudulent Act)

- 55. Respondent is subject to disciplinary action under Code section 2960, subdivision (n), in that he committed dishonest and fraudulent acts. The circumstances are as follows:
- 56. The allegations in paragraphs 15 through 24 and 46 through 49, above, are incorporated herein by reference and re-alleged as if fully set forth.
- 57. On or about May 29, 2019, Respondent was interviewed by a deputy and investigator from the Riverside County Sheriff's Department. During his interview, Respondent stated that Client A has Borderline Personality Disorder (BPD) and denied ever doing energy work on her. Respondent indicated that Client A misconstrued the situation and he never performed energy work on any patient. He also stated that he never used tarot cards during his sessions.

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- 58. Respondent never documented a diagnosis of BPD for Client A. Further, he never treated Client A for BPD, and it appears that he made this statement to discredit Client A's complaint against him.
- 59. Additionally, deputies interviewed other patients of Respondent who indicated that he discussed and proposed doing energy work and using tarot cards on them as well.
- 60. Respondent's acts, misrepresentations, and false statements to the investigator and deputy constitute dishonest and fraudulent acts.
- 61. Moreover, Respondent was convicted of violating Penal Code section 243.4, subdivision (c), sexual battery by fraudulent misrepresentation, a felony, for his sexual abuse of Client A. As noted above, Respondent must register as a sex offender for 10 years.

SIXTH CAUSE FOR DISCIPLINE

(Functioning Outside One's Field of Competence)

- 62. Respondent is subject to disciplinary action under Code section 2960, subdivision (p), in that he functioned outside his field of competence as established by his education, training, and experience. The circumstances are as follows:
- 63. The allegations in paragraphs 15 through 24 and 46 through 52, above, are incorporated herein by reference and re-alleged as if fully set forth.
- 64. Ethical Principles of Psychologists and Code of Conduct, Standard 2.01 Boundaries of Competence, states in pertinent part:
 - (a) Psychologists provide services, teach, and conduct research with populations and in areas only within the boundaries of their competence, based on their education, training, supervised experience, consultation, study, or professional experience.
- 65. Respondent encouraged Client A to delay and/or forgo other medical interventions on several occasions, despite not being properly educated or trained on cancer and its risks. Delay or failure to pursue needed medical advice and treatment can cause significant harm to the client. Respondent should not have substituted his judgment for the judgment of other competent medical professionals, and his actions constitute a departure from the standard of care.

DISCIPLINARY CONSIDERATIONS 1 2 74. To determine the degree of discipline, if any, to be imposed on Respondent, Complainant alleges that on or about June 20, 2023, in the case of *The People of the State of* 3 California v. Vincent Shanks, Riverside Superior Court Case No. SWF 1900632, Respondent 4 5 filed "Defendant's Waiver of Professional License with the Board of Psychology." In that Superior Court filing, Respondent/Defendant states that, "pursuant to the plea agreement, I hearby 6 7 waive renewal of my professional license with the California Board of Psychology, License No. 94020884. My professional license has not been renewed and is currently cancelled." 8 9 **PRAYER** WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, 10 and that following the hearing, the Board of Psychology issue a decision: 11 1. Revoking or suspending Registered Psychological Associate Number PSB 94020884, 12 issued to VINCENT SHANKS, PhD.; 13 2. Ordering Vincent Shanks to pay the Board of Psychology the reasonable costs of the 14 investigation and enforcement of this case, and, if placed on probation, the costs of probation 15 monitoring; and, 16 3. Taking such other and further action as deemed necessary and proper. 17 18 19 20 July 10, 2023 DATED: 21 ANTONETTE SORRICK **Executive Officer** 22 Board of Psychology Department of Consumer Affairs 23 State of California Complainant 24 25 LA2022303161 26 66009223.docx 27 28